

**MINUTES OF THE MEETING OF  
THE INDIANA STATE ETHICS COMMISSION  
June 22, 2017**

**I. Call to Order**

A regular meeting of the State Ethics Commission (“Commission”) was called to order at 10:01 a.m. Members present included James N. Clevenger, Chairperson; Bob Jamison; Daryl Yost; and Peter Nugent. Staff present included Lori Torres, Inspector General; Jennifer Cooper, Ethics Director; Stephanie Mullaney, Compliance Officer/Staff Attorney; Tiffany Mulligan, Chief Legal Counsel; Matthew Savage, Staff Attorney; Cynthia Scruggs, Director of Administration; and Celeste Croft, Legal Assistant, Office of Inspector General.

Others present were Mark Tidd, Prequalification & Permits Director, Department of Transportation; Sarah Kamhi, Deputy General Counsel, Economic Development Corporation; Daniel Ebner, ISBDC Summer Intern, Economic Development Corporation; Benjamin Burdick, Executive Intern, Economic Development Corporation; Monique Riggs, Environmental Scientist, Department of Natural Resources; Susan Lockwood, Director of Juvenile Education, Department of Correction; Robert Bugher, Chief Legal Counsel, Department of Correction; Robert Carter, Commissioner, Department of Correction (via teleconference); Christine Blessinger, Executive Director of Youth Services, Department of Correction; Kathleen Mills, Ethics Officer/Attorney, Department of Environmental Management; Cameron Clark, Director, Department of Natural Resources; Samantha DeWester, General Counsel, Department of Natural Resources; Chris Smith, Deputy Director, Department of Natural Resources; Hilari Sautbine, Attorney, State Department of Health; Adrienne Brune, Attorney, State Department of Health; Rachel Russell, Legal Affairs, State Department of Health; Deana Smith, Attorney, State Department of Health; and Cathleen Nine-Altevogt, Ethics Officer/Attorney, Department of Insurance.

**II. Adoption of Agenda and Approval of Minutes**

Commissioner Yost moved to adopt the Agenda and Commissioner Nugent seconded the motion which passed (4-0). Commissioner Jamison moved to approve the Minutes of the May 11, 2017 Commission Meeting and Commissioner Nugent seconded the motion which passed (4-0).

**III. Consideration of Post-Employment Waiver**

**Dr. Susan Lockwood, Director of Juvenile Education**

**Presented by Robert Carter (via teleconference), Commissioner**

**Robert Bugher, Chief Legal Counsel**

**Indiana Department of Correction**

Commissioner Carter and Mr. Bugher provided a summary of a post-employment waiver for Dr. Susan Lockwood, who is the Director of Juvenile Education services for the IDOC. Dr. Lockwood is interested in leaving IDOC and pursuing a position as Vice-President of Oakland City University. Mr. Bugher provided an overview Dr. Lockwood's opportunity with Oakland City and IDOC's relationship with Oakland City University. Oakland City University provides some services for thirteen of IDOC's adult facilities and provides educational services at IDOC's Madison Juvenile Correctional Facility under a contract that was approved in January of 2017. In her new position with Oakland City University, Dr. Lockwood will not be associated with the contract with the IDOC. Commissioner Carter provided his reasons for supporting the waiver and answered the Commissioners' questions.

Commissioner Yost moved to approve the Post-Employment waiver. Commissioner Jamison seconded the motion which passed (4-0).

**IV. Consideration of Post-Employment Waiver  
Monique Riggs, Environmental Scientist  
Presented by Cameron Clark, Executive Director  
Indiana Department of Natural Resources**

Mr. Clark presented the post-employment waiver for DNR employee Monique Riggs to the Commission and provided an overview of Ms. Riggs' circumstances and his decision to grant the waiver. Ms. Riggs is pursuing an opportunity with Indiana Rural Water Association. Its primary members are water operators and waste water operators who are regulated by the Indiana Department of Environmental Management. However, the Association does have some members that are regulated by DNR and Ms. Riggs may have had some regulatory authority related to the Association through her position at DNR.

Mr. Clark and Ms. Riggs proceeded to answer all of the Commissioners' questions on the matter.

Commissioner Yost moved to approve the Post-Employment waiver. Commissioner Jamison seconded the motion which passed (4-0).

**V. Consideration of Consent to Violation and Imposition of Penalty  
In the Matter of Kevin Masters Case No. 2017-05-0096  
Matthew Savage, Staff Attorney  
Office of Inspector General**

Mr. Savage presented the Consent to Violation and Imposition of Penalty that Mr. McMasters signed. Mr. Savage explained how the parties agreed on the consent and that McMasters will pay a \$750 fine to the Commission if the consent is approved.

Commissioner Yost moved to approve the Consent to Violation and Imposition of Penalty and Commissioner Nugent seconded the motion which passed (4-0).

**VI. Director's Report**  
**Jennifer Cooper, Ethics Director**  
**Office of Inspector General**

Ms. Cooper reported that OIG staff issued fifty opinions since the last Commission meeting with the majority of the opinions addressed the rules on post-employment, conflicts of interests, outside employment, use of state property, ghost employment, and gifts. Ms. Cooper also reported that OIG staff had conducted a survey of last year's Legal and Ethics Conference attendees and decided to make some changes to the conference based on the results received. She provided that this year's conference will be a half day and it will take place on the afternoon of November 14, 2017. The Commission discussed changing the date of the November meeting so that they could hold it the day before or after the conference, but decided to wait until closer to the event to make any decisions. Ms. Cooper also reminded the Commission that they have a public hearing coming up in August.

**VII. Adjournment**

Commissioner Jamison moved to adjourn the public meeting of the State Ethics Commission and Commissioner Nugent seconded the motion, which passed (4-0).

The public meeting adjourned at 10:30 a.m.